



TENDER DOCUMENT FOR AMC OF ASH DYKE CPP 2 & CPP 3

TENDER NO: BALCO/COM/ AMC OF ASH DYKE CPP 2 & CPP 3- 01

SEND YOUR OFFER WITHIN 7 DAYS THROUGH E-MAIL

To,

**Head Commercial**  
**Bharat Aluminum Company Ltd.**

Commercial Office  
Admin Building, 1<sup>st</sup> Floor  
BALCO NAGAR  
**KORBA – 495684**  
Chhattisgarh State  
India

**E-mail:**

**[tender.korba@vedanta.co.in](mailto:tender.korba@vedanta.co.in)**

## INDEX

Attached herewith is our Tender Document for **ASH DYKE CPP 2 & CPP 3**. The details are attached below:

- 1. Instruction to the Bidder.**
- 2. Offer letter.**
- 3. Scope of Work.**
- 4. Bill of Quantities.**
- 5. Contract Period.**
- 6. Contract Performance score card.**
- 7. Balco General Terms & Condition.**

We are looking forward to an extended relationship and are open to negotiate long term contracts if that is mutually beneficial.

Please note that completion of the response, in terms of the Formats to be filled and data to be furnished, will be one of the criteria for evaluation of the vendors.

We will be happy to assist you with the process. Feel free to reach out to any of the people listed below.

**For Clarifications:**

**Commercial:** Mr. Rohit Singour, Mob. No: 09179038516

**Technical:** Mr. Neeraj Trivedi, Mob. No: 09893379875

## 1) INSTRUCTION OF BIDDERS

**Vedanta Resources plc** (“Vedanta”) is a LSE listed FTSE 100 Company with a market cap including that of its listed subsidiaries of about \$ 50 billion. We operate across the following core business sectors: Zinc-Lead-Silver, Copper, Aluminum, Iron Ore and Energy, with operation located in geographies spanning India, Australia, UAE, Zambia, South Africa, Namibia and Ireland. Over the past 5 years the group has displayed exemplary appetite for organic and inorganic growth-with an industry leading organic growth program of \$ 20 billion nearing completion.

**Bharat Aluminium Company Limited (BALCO)**, a Member of Vedanta India is a fully integrated Aluminium producer with an installed capacity of 245 ktpa aluminium and 810 MW of power. We are currently implementing expansion projects, which includes a 650 ktpa capacity Aluminium smelter (1st phase 3.25 ktpa) and 1200 MW Power Plant. With the completion of these projects, BALCO will have a total installed metal capacity of 1 mtpa and 2010 MW of power. Our metal business currently produces 75 ktpa rolled products & 200 ktpa wire rods & with completion of 1st phase metal expansion, rolled products & wire rods capacity shall increase to 80 ktpa & 500 ktpa respectively. Our businesses also include a coal mines and operating Bauxite mines.

### **What BALCO is looking forward with this contract:**

- High Level of Service quality.
- 100% adherence to all the deliverables.
- Zero accidents environment.
- 100% reporting of all the near miss incidents and corrective measures for all to ensure no accident due to the unsafe conditions.
- Increased availability of all the equipments and the total system to ensure better efficiency and higher levels of productivity.
- Ensuring higher productivity per man hour by introducing better Operating Procedures.
- Introduction of innovative ideas which can save in terms of time or money.

**Information / Credential of Service Providers / Bidder**

The following information is Compulsory and should be furnished completed in all aspects along with your offer.

- I. Brief history of organization, along with organization chart, mentioning the Name, Designation & Tel. Nos of the contact persons in your company holding all key positions.
- II. Client list, with copies Contracts of your Top 5 clients.
- III. Banker's name and your Company's annual audited report / Balance Sheet for last 3 years.
- IV. The details of Machinery and Equipment available with you which are in working condition are to be furnished.
- V. If the space provided in the registration form is not sufficient, please attach separate
- VI. Sheets and give Annexure reference number on the attached sheet. VII. Registration Details
- VIII. Registration No. and date (Kindly attach a photocopy of registration certificate)
- IX. Membership to any body
- X. Any other Statutory Registration.
- XI. Registration details with taxation authorities:
  - a. Permanent Income Tax A/c No.
  - b. Service tax Registration
- XII. For any new agency participating first time in BALCO tendering, must register their company as new service vendor on our SRM Portal at **[www.balcoindia.com/vendorzone](http://www.balcoindia.com/vendorzone)**

## **2) FORMAT FOR OFFER LETTER**

Head Commercial  
Bharat Aluminum Company Ltd.  
Commercial Office  
Admin Building  
BALCO NAGAR  
Korba – 495684

Offer reference N.: /.....dt. **2019:**

Sir,

1. We hereby undertake to perform the scope of work as defined in the condition of Bharat Aluminum Co. Ltd., Tender Ref no:....., dated.....2019 at the prices and within the period stated in the attached schedules & in conformity with all the conditions is included therein.
2. This offer is valid for a minimum period of 90 days.
3. We agree that any Contract placed as result of this offer will be in accordance with the terms & conditions in the said offer. We declare that any other terms or conditions of the contract or any general reservations which may be printed on any correspondence of documents emanating from us in connection with tender shall not form part of any resulting contract unless specifically agreed to by BALCO and included in this contract.
4. We also enclose herewith the following documents:
  - A. Schedule of compliance with
    1. Acceptance of contract conditions.
    2. Schedule of prices (Price Formats to be completed)
    3. The offer should contain all the details like Service Tax Reg. No. etc.
  - B. Documents required by BALCO as mentioned in “**Instructions to Bidders**”.

M/s (Name and Address of the Company)

Signature of the authorized Signatories

### 3) SCOPE OF WORK :

#### **ASH DYKE CPP 2 & CPP 3**

#### SCOPE OF WORK.

1. BOUNDARY LIMIT OF WORK SCOPE .....
2. Scope of work for Ash dyke Raising (Pond 2,4,and 6).....
3. Terms & Condition.....

#### Work Scope

BOQ FOR AMC FOR ASH DYKE MAINTENANCE			
Sr. no	Short description	Qty	UOM
1	Manpower (unskilled, temporary) for Dyke (During mansoon)	5000	No.
2	Soil-Supply, filling, dressing and compaction of soil	30000	CUM
3	Sand-Sand (blanket and chimney),Supply and filling of coarse sand	1500	CUM
4	Aggregrate filter	500	CUM
5	Boulder-Boulder (Rock toe)	1000	CUM
6	Brick work including 15 mm thick plaster	200	CUM
7	PCC including formwork	500	CUM
8	Plaster	5000	SQM
9	Turfing	50000	SQM
10	Vegetation removal	800000	SQM
11	Excavation	5000	CUM
12	Preperation of dowela	30000	RM
13	Cross pipe (300 dia)	500	RM
14	Cleaning of drain	30000	RM
15	Diesel	20000	Ltr
16	providing diesel pump for dewatering	20	Month
17	Water tanker	10	month
18	Bamboo	300	No.

19	Sand Bags empty	30000	No.
20	Providing fabrication and erection	2	MT
21	Hiring of JCB	500	RH
22	PVC Flexible hose Pipe 100 mm	2000	RM
23	Slurry Line discharge point diversion	200	Set
24	Operation Jeep (Bolero)	12	Month
25	Leakage Arresting( welding) at Ash Dyke	500	No.

### **SCOPE OF WORK FOR HEIGHT RAISING OF ASH DYKE**

1. Complying with the all statutory requirement for the height raising of the Dyke , and completion of the job in all respects
2. Proper clearance / permission/coordination as may be applicable/required for the above job from Member secretary (to Sort the NOC for Height raising of dyke) ,Govt. Authorities/local administration (RO(CECB),SDM, SDO, Collector, TI, Parshad/sarpanch etc.) is under Contractor's scope and they have to follow all the guidelines given by the Board in NOC during execution of the job.
3. Daily reporting of work progress to EIC.
4. Water tanker for sprinkling water on approach road and working site for emission control during entire process at Ash dyke is in contractor's scope.
5. Mobilization of all means and materials including earth moving equipment's, Excavator, JCB, Trucks/Hyva/tractor, Dozer, water tanker ,vibro compactor etc.
6. Construction or repairing of approaches/pathways as required for execution of the job is in contractor's scope.
7. Repair, rectification and correction of any damages if any arise during the period of the execution to be done by the Contractor.
8. For ascertaining the exact quantity Site measurement and reference level to be taken before and after completion of Height raising of Ash dyke in presence of EIC, Third party appointed by Balco and Contractor .
9. No local hindrance during the execution of the job.

### **ASH POND WORK SCOPE**

**Stripping:** Stripping &Grading for foundation of Dike in ash/soil with all labor ,material and equipment, lifting, hauling and stock piling of useful excavated material away from the heel and toe of embankment and disposal of unsuitable materials up to a lead of 500M to the area identified by the Engineer, cost of lowering water level to at least 1M below final excavation level by any approved method drawing and as directed by the Engineer

**Base preparation:** Preparation of foundation including compaction of foundation to achieve dry density not less than 95% of maximum dry density (Standard proctor) with vibratory rollers, Pneumatic rubber tiered rollers, cost of labor, equipment and materials etc. complete as per specification drawing and as directed by the Elc.

**Formation of dike:** Formation of dike using approved material, stripping, watering of borrow area, excavation of approved quantity of material from borrow area, loading, unloading, transporting, surface watering/dewatering, depositing the fill material on the dike, spreading in layers not exceeding 20 cm in compacted thickness for forming the embankment with all leads, lifts, breaking clods, watering, compaction with vibratory rollers, Pneumatic rubber tyred rollers, to achieve dry density not less than 95% of maximum dry density (Standard proctor) forming extra width on edges, trimming of slopes and slope compaction with dozers or slope compactors to obtain the section as per construction directed by the Engineer.

**Sand (blanket) :** Providing and laying coarse sand, including cost and conveyance of materials from the approved sources, laying in position in layers not exceeding 15 cm in compacted thickness, excavation of trenches for sand chimney (not exceeding 1.5 meters) and lifts etc. as per the specifications, drawing and as directed by the Engineer for sand Blanket, sand chimney and sand for rock

**Boulder (Rock toe) :** Providing and forming rock toe for the embankment with broken rock of specified quality and ranging in size from 100MM to 300MM including cost and conveyance of materials, hand packing in position over course graded aggregate, clearing and disposal of bush, roots and other perishable materials from rock fill, with all leads, lifts, labor, materials, equipment etc. complete as per specification, drawing and as directed by the Engineer.

**Aggregate filter:** Providing and forming graded aggregate filters of specified quality rock and size ranging from 10 MM to 75 MM over sand blanket. Including cost and conveyance of materials from quarries, mixing and blending in required proportions, placing in layers in position, cost of labor, equipment, all leads and lifts etc. complete as per specifications, drawings and as directed by Engineer. (Note:- Stone aggregate of size 10 MM, 12 MM, 20MM & 40MM should be crusher broken and aggregate size 50 MM to 75MM are to be hand broken and free from perishable materials.)

**Soil:** Slope and top protection with earth layer 500MM thick with more than 95% compaction (Measured at right angle (Perpendicular) of slope) including cost and conveyance of approved quality earth material, transporting, unloading, depositing the materials on dike, spreading and compaction in layers and dressing the slope including all leads, lifts, equipment's, watering charges, etc. complete as per specification, drawing and as directed by the Engineer.

**PCC including formwork:** Providing and laying nominal mix plain cement concrete (PCC) 1:2:4 using graded aggregate at all the levels for all kinds of works like rock toe



drain, slope drain, base of cross drain, enclosing of hume pipe, well construction etc. including labors, materials, equipments, handling and transportation including all leads, lifts, curing and shuttering etc complete and as per the instruction of EIC. M15.

**Excavation (For drains, box cutting etc.):** Excavation in earth like rock toe drain, slope drain, box cutting, nallah diversion etc. including all leads and lifts disposing excess material with a minimum distance of 50 mtr from the toe of the embankment including the cost of labors, equipment etc. complete and as per the instruction of EIC.

**Turfing:** Providing and laying turfing with grass including collection and transportation, ramming and watering for a minimum period of 3 months or till the grass is established itself uniformly whichever is later. With all leads, lifts, watering charges etc complete and as per the instruction of EIC.

**Cross pipe (300 dia RCC):** Providing and Laying in position with collar and connection (300 mm dia RCC pipe NP-2) including excavation and back filling, transportation at dike area.

**Brick work** Providing and laying in position brick masonry with brick for brick masonry panel walls, below rock toe etc. in cement sand mortar (1:6) including all lifts ,cost of labor ,materials, equipment's, mixing ,laying ,raking joints, curing etc. complete as per the instruction of Eic

### Terms & Conditions:

1. Payment Terms: 90 % payment along with 100% taxes and duties after submission of Monthly RA bill duly certified by EIC. The payment shall be made within 30 days from the date of submission of invoice.

Balance 10% against submission of SD/Bank Guarantee of Equivalent amount of contract value as per BALCO format, valid for one year Contract period + 3 months claim period. Bank Guarantee in Balco's Standard format equivalent to 10% of the Annual Contract value with claim period of 3 months shall be submitted by the vendor OR 5 % Security Deposit & 15% of wages amount shall be withheld against Monthly RA Bills.

2. Security Deposit: 10% of the total contract value shall be withheld as security deposit and the same shall be released upon completion of Contract period of 1 year "Bank Guarantee" of equivalent value in Balco's standard format valid till contract period of 1 year + claim period of 3 months

3. Penalty:--

i) If any spillage on Road and dumping outside the designated area, penalty of Rs. 5000/- shall be imposed per instance.

ii) Delivery is the essence of the contract and hence delivery should be made within given time frame. Any delay in delivery will be subject to liquidated damages (l.d.) @ 0.5% of unexecuted / undelivered order value for delay per week subject to a maximum of 5% of unexecuted / undelivered order value.

4. Mobilization time: Within 10 Days from the date of receipt of PO.

5. Contract Period: 12 Months from the date of contract awardation.

6. In case of PME required then cost will be in Balco's scope
7. Vendor has to ensure all the safety measure during job and Vendor has to provide all the PPEs as per job requirement.
8. Required Manpower, resources, tools & tackles & Consumables shall be provided by Contractor
9. Diesel will be provided by vendor. Diesel Storage, filling arrangement and Dispenser will be in Vendor's scope. 10. PPE's, ESI, EPF and other statutory will be in Contractor scope.
11. Necessary Gate Pass approval shall be in Vendors scope.
12. Price Basis:- the price of contract will remain firm throughout the contract period for all the line item.
13. Sales Tax:- GST tax will be extra as applicable subject to documentary evidence.
14. The quantities have been worked out on estimation basis, payment shall be made on actual work executed and duly certified by our EIC.
15. Quality measurements will be done jointly and will be certified by Balco.
16. NOC (no objection certificate) from all the concerned local admin./govt./statutory authorities or whoever as per required norms, Will be arranged/produced by vendor at their cost, prior to the start of execution of work.
17. The Service Provider shall particularly take care of all types of coordination required with local civic, government authorities etc. for ensuring smooth progress of the work.
18. All temporary approaches, required if any shall be in service provider's scope & has to be made at dyke or at dumping/disposal site and the removal of the same after job completion has to be done with concern of Balco EIC (engineer-in-charge).
19. The Service Provider admits that he has duly inspected the site and its surroundings and have fully satisfied to all technical, commercial and general conditions affecting the site and the works including the nature of the ground and sub-soil, the extent and nature of the work and materials necessary for carrying out the Works, the means of communication, and in general all risks and contingencies influencing or affecting the scope of work.
20. Service Provider shall not be entitled to any adjustment of the unit rate and/or Contract Price on grounds of misinterpretation or misunderstandings under this clause. 21. For all technical clarifications the Service Provider shall report to the Company's Engineer-in-charge. All works shall be carried out to the satisfaction of the Company and as stipulated in this contract.
22. If the Company is exposed to additional costs, due to reasons attributable to the Service Provider, such additional costs will be to the account of Service Provider at actual.
23. Neither Party shall disclose to third parties, and shall use their best efforts to ensure that their directors, officers, employees, contractors and Service Providers keep secret, all Confidential Information disclosed by the other Party, including without limitation, documents marked confidential, technical information, documentation, software, processes, know-how and other unpublished information, except as may be authorized in writing by the disclosing party.
24. Measurement & Certification of ash evacuated should also be certified by a third party inspection agency.

## STANDARD TERMS AND CONDITIONS

### 1. DEFINITIONS

1.1 In the Agreement, the following words and expressions shall, unless the context otherwise requires, have the following meanings:

**“Affiliate”** shall mean with respect to any person, any other person that, beneficial ownership of more than fifty percent (50%) of the issued share capital, stock or other participating interest or the legal power to direct or cause the direction of the general management of the company, partnership or other person in question, and “controlled” shall be construed accordingly;

**“Agreement”** shall mean the Agreement between the Company and the Service Provider to which this Schedule is attached. **“Purchase Order”** shall mean the document recording the specific Services to be carried out under this Agreement, from time to time.

**“Fees”** shall mean the prices and/or rates payable by the Company in respect of the Services and/or as specified in the relevant Purchase Order.

1.2 Unless otherwise stated, any and all references in the Agreement to Clauses are references to the Clauses of the Agreement.

directly or indirectly, controls, is controlled by or is under common control of such specified person. For the purposes of this definition,

“control” means the direct or indirect

1.4 Words denoting the singular shall include the plural and vice versa, where the context requires.

1.5 Except as expressly identified, any reference to statute, statutory provision or statutory instrument shall include any re-enactment or amendment thereof for the time being in force.



1.3 The headings in the Agreement are used for convenience only and shall not govern or affect the interpretation of the Agreement.



consistent hereunder. with the provisions

1.6 Unless expressly stated otherwise, all references to days, weeks, months and years shall mean calendar days, weeks, months and years.

## **2. SCOPE OF CONTRACT**

2.1 The terms and conditions of the Agreement shall apply from the Effective Date and shall remain valid for the Term unless this Agreement is terminated earlier by the Company in accordance with Clause 10 below (Standard Terms and Conditions).

2.2 Subject to the provisions of this Agreement, the Parties agree that upon request of the Company in terms hereof, the Service Provider shall perform the Services at such locations and for such periods as may be agreed with the Company.

2.3 From time to time, the Company may issue a Purchase Order to the Service Provider. In such case, the terms and conditions of this Agreement shall apply to each such Purchase Order as if repeated in total.

2.4 The Service Provider shall commence the Services on the scheduled commencement date stated in the Purchase Order and shall continue such Services for the duration of the Purchase Order. Each Purchase Order is subject to agreement on a case by case basis.

## **3. SERVICES**

3.1 The Service Provider shall perform the Services with all due skill, care and diligence in a safe, competent and timely manner and in accordance with the requirements of the Agreement and/or the relevant Purchase Order.

3.2 Except to the extent that it may be legally or physically impossible, the

Service

Provider shall comply with the Company's instructions and directions in all matters relating to the Services



3.3 The Service Provider shall agree with



the Company in the relevant Purchase Order from time to time as regards the personnel who will perform the Services and shall:

4.2 In case of contingency assignments, the agreed fees for such onetime Services shall

- 3.4
- (a) only provide such personnel who possess appropriate experience, skills and qualifications necessary for the Services to be performed in accordance with this Agreement;
  - (b) not remove or replace such personnel without the prior written consent of the Company (not to be unreasonably withheld); and
  - (c) nominate a senior manager or director of the Service Provider to have overall responsibility for the provision of the Services in terms of the relevant Purchase Order, which person shall attend any meetings with the Company on reasonable prior notice.

3.5

The Company shall be entitled to request the Service Provider to replace any of its personnel providing the Services, where in the Company's reasonable opinion such person is incapable and or unsuitable for performing the Services required by this Agreement. The Service Provider shall promptly replace such person at no additional cost to the Company.

4.

Without prejudice to any other rights of the Company under the Agreement or at law, if the Service Provider fails to perform the Services in accordance with the provisions of this Agreement, the Company may use alternative means to perform the Services and the Service Provider shall be liable for any additional cost incurred by the Company in using such alternate means.

4.1 **FEES**

The Company shall pay for the Services performed in accordance with the prices as per Attachment 2 to Schedule I and/or rates specified in the relevant Purchase Order.



be payable on completion of the relevant assignment as per the Purchase Order.

**SERVICE PROVIDER'S GENERAL**

5.



omissions of the Service Provider.



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## OBLIGATIONS

5.1

The Service Provider shall, and the Service Provider shall ensure that its employees and representatives shall, in performing its obligations under this Agreement, comply in all respects with all relevant laws, statutes, regulations and orders for the time being in force.

5.2

Where any of the Service Provider's employees or representatives is present at any of the Company's premises for the purposes of this Agreement, the Service Provider shall at all times remain responsible for the conduct and safety of such employee or representative.

5.3

The Service Provider shall not, in performing its obligations under this Agreement, hold itself out or permit any person to hold it out as being authorised to bind the Company in any way and will not commit any act which might reasonably create the impression that it is so authorised.

5.4

The Service Provider shall ensure that it has in place and maintains in place for the duration of this Agreement sufficient insurance to comply with all applicable laws and to cover its potential liabilities under this Agreement and shall provide evidence of such insurances to the Company on request.

5.5

The Service Provider may not subcontract any of its obligations under this Agreement without the prior written consent of the Company. The Service Provider shall not be relieved from any of its obligations or liabilities under the Agreement by virtue of any subcontract and the Service Provider shall be responsible for all Services, acts, defaults or omissions of its subcontractors (and its or their employees and consultants) as though they were the services, acts, defaults or

5.6



In performing the Services, the Service Provider shall:

- (a) give preference to the purchase and use of goods manufactured, produced or supplied in India provided that such goods are available on terms equal or better than imported goods with respect to the timing of delivery, quality, quantity required, price and other terms;
- (b) subject to Clause 5.5, employ Indian subcontractors having the required skills or expertise to the maximum extent possible insofar as their services are available on comparable standards with those obtained elsewhere and at competitive prices and on competitive terms, provided that where no such sub-Contractors are available, preference shall be given to non-Indian subcontractors who utilise Indian goods to the maximum extent possible, subject to the proviso in Clause 5.6 (a) above; and
- (c) subject to Clause 5.5, co-operate with and assist Indian companies as subcontractors to enable them to develop skills and technology to service the petroleum industry.

5.7

The Service Provider shall maintain proper and accurate records in relation to the Services and shall provide copies of the same to the Company on request. The Company (or its appointed representative) shall have the right to audit the relevant books and accounts of the Service Provider in relation to any reimbursable charges paid for by the Company under this Agreement. Such audit right shall survive for a period of 2 (two) years following the expiry or termination of the Agreement. Any incorrect payments identified by such audit shall be adjusted between the Parties as appropriate.

6.



### THIRD PARTY CLAIMS AND LIMITATION OF LIABILITY

6.1

The Service Provider shall be liable for and shall defend, indemnify and hold the Company harmless from and against any and all claims, liabilities, costs, damages and expenses (including court costs and legal fees) in connection with:

- (a) any claim made by any third party (including, but not limited to, any claim made by any governmental or statutory authority) against the Company arising out of or in connection with the performance by the Service Provider of its obligations under this Agreement.
- (b) any infringement (whether actual or alleged) of any patent or other intellectual property right arising out of or in connection with the performance of this Agreement by the Service Provider.

6.2

Notwithstanding anything to the contrary in this Agreement, in no event shall either Party be liable to the other, whether arising under Agreement, tort (including negligence), strict liability or otherwise, for any indirect, consequential, special, punitive, exemplary or incidental loss or damages of any nature arising at any time from any cause whatsoever.

1.

7.1

### VARIATIONS

7.2

At any time during this Agreement, the Company may request the Service Provider to vary, amend or otherwise alter the Services (a "Variation Request").

Upon the receipt of a request from the Company pursuant to Clause 7.1, the Service Provider shall, within 7 days, notify the Company of the effect of the Variation Request on the Fees and/or other terms of the relevant Order.

7.3 If following receipt of the Service Provider's response pursuant to Clause 7.2, the Parties are in agreement on the



Variation Request and the adjustments to be made to the



relevant Purchase Order, the Parties shall execute a variation order (a "Variation Order") to reflect such agreement.

- 7.4 The Services shall not be varied, amended or otherwise altered and/or the Fees shall not be adjusted until such time as a Variation Order is executed by both Parties.

## **8. PAYMENT**

8.1 In addition to any requirements set out in the relevant Purchase Order, each invoice shall:

- (a) be in duplicate;
- (b) bear the Contract Number stated on the cover sheet to the Agreement;
- (c) state the name, e-mail address, mobile telephone number of the Company's Representative; and
- (d) be accompanied by supporting evidence and itemised in accordance with the Company's requirements.

Specifically, the Service Provider shall submit the following information/documents to the Company:

- (i) Copy of registration certificates under Indian tax/other laws including but not limited to Service Tax, Excise, import export code etc., as applicable.
- (ii) Copy of PAN.

Invoices to the Company shall be sent to the address set out in the Agreement. Service Provider must ensure that all invoices for services performed or goods delivered are submitted to the Company within 90 days.

8.2 The Company shall make payment of a correct invoice within 45 days of receipt to the Service Provider's nominated bank account. Any invoice not



complying with the provisions of this Agreement will be returned by the Company and the Service Provider shall submit a rectifying invoice.

8.3 The Company may dispute any amount

on an invoice and withhold the disputed amount provided that:



(a) the Company makes payment of any undisputed portion of the invoice and notifies the Service Provider of the disputed amount within 45 days of receipt of the relevant invoice;



Authority in respect of any wages, salaries and other remuneration paid directly or indirectly to persons

- 8.4 (b) if the dispute is resolved in favour of the Service Provider, the Company shall pay the disputed amount within fifteen (15) days of the date of the resolution of the dispute or forty-five (45) days of receipt of the invoice, whichever is later.

If the dispute is resolved in favour of the Company, the Service Provider shall forthwith issue a credit note for the disputed amount.

**9.**  
**9.1**

The Company shall be entitled to set-off / adjust / deduct from any invoice under this Agreement, any payment due from the Service Provider to the Company or any of its Affiliates.

**TAXES**

**Definitions**

For the purposes of this Clause 9:

**9.2**  
**Taxes**

- (a) "Tax" or "Taxes" means taxes, levies, duties, fees, charges and contributions as amended from time to time and any interest or penalties thereon;
- (b) "Government Authority" or "Government Authorities" means any local or national government or authority of any country, competent to levy any Tax.

**Person Responsible for payment of**

Except as may be expressly set out in this Agreement, the Service Provider shall be responsible for:

- (a) the payment of all Taxes now or hereafter levied or imposed on the Service Provider or its subcontractors or on the personnel of the Service Provider or its subcontractors by any Government

engaged or employed by the prescribed period without deduction of Service Provider or its any tax or deduction at a lower rate.

subcontractors  
(hereinafter referred to as  
“Personal Income tax”);

- (b) the payment of all Taxes now or hereafter levied or imposed by any Government Authority on the actual/assumed profits and gains made by the Service Provider or its subcontractors (hereinafter referred to as “Corporate Income tax”);
- (c) the payment of all Taxes now or hereafter levied or imposed by any Government Authority on the services, if any, provided to the Company by the Service Provider or its subcontractors  
(hereinafter referred to as “Service tax”);
- (d) the payment of all Taxes now or hereafter levied or imposed by any Government Authority on the goods, if any, sold to the Company by the Service Provider or its

subcontractors

(hereinafter referred to as “Sales tax/VAT”);

- (e) the payment of all Taxes now or hereafter levied or imposed by any Government Authority on the goods, if any, manufactured by the Service Provider or its subcontractors for sale to the Company (hereinafter referred to as “Excise Duty”); and
- (f) the payment of any other Taxes now or hereafter levied or imposed by any Government Authority on the Service Provider or its subcontractors as a result of the performance of this Agreement.

### **9.3 Withholding taxes and Withholding certificates**

9.3.1 The Company shall, at the time of its payments due to the Service Provider, withhold the necessary taxes at such rate as is required by any Government Authority, unless and to the extent that the Service Provider shall produce to the Company any certificate issued by a





Government Authority (having authority to issue such certificate) entitling the Service Provider to receive the payments under the Agreement for a

9.3.2



The Company shall provide the necessary withholding tax certificates to the Service Provider within the time stipulated by the relevant law to enable the Service Provider to file the same with the Government Authority as a

the



Taxes paid on behalf of the Service

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proof of payment of such taxes.

**9.4 Person Responsible for filing of returns / information to Government Authorities**

9.4.1 The Service Provider shall be responsible for filing all necessary Tax returns (including, without limitation, returns for Corporate Income tax, Personal Income tax, Service tax, Sales tax and Excise Duty) with the relevant Government Authorities in accordance with all applicable statutory requirements and shall be responsible for providing all information requested by such Government Authorities.

9.4.2 The Service Provider shall also ensure that its sub-Contractors file such returns as stipulated by the relevant Government Authorities and furnish such information as requested for by the relevant Government Authorities.

9.4.3 The Company, with respect to the tax withheld from the Service Provider in accordance with Clause 9.3 (Withholding Tax and Withholding Tax Certificates), shall be responsible for filing the withholding tax returns with the relevant Government Authorities in accordance with applicable statutory requirements.

**9.5 Company's rights, if treated as representative assessee by Government Authorities**

In certain situations, a Government Authority may treat the Company as the representative assessee of the Service Provider and/or its subcontractors and recover the Taxes due to the Government Authority by the Service Provider or its subcontractors from the Company. In such situations, the Company shall have the following rights:

(a) The Company shall be entitled to recover from the Service Provider,

Provider or its sub-contractors shall promptly (and in any case prior (together with any costs and to submission of the Service expenses incurred by the Company Provider's final invoicement) ice under this in connection therewith) or to retain the same out of any amounts to be paid to the Service Provider or its sub-contractors that may be in its possession



(whether due under this Agreement or otherwise) and shall pay only the balance, if any, to the Service Provider; and

- (b) If the Company is required to furnish any details or documents in such capacity, the Company shall request the details or documents to be furnished to it by the Service Provider and the Service Provider shall immediately furnish the same to the Company. If the Service Provider fails to comply with the foregoing, any penalty/interest levied on the Company for non-filing or late filing of details or documents in this regard shall be recoverable from the Service Provider.

#### **9.6 Indemnity**

The Service Provider shall defend, indemnify and hold the Company harmless from and against any and all claims, liabilities, costs, damages and expenses (including court costs and legal fees) in connection with any Taxes which may be levied or imposed on the Service Provider or its sub-contractors by any Government Authority arising out of or in connection with the performance of this Agreement.

#### **9.7 Changes in Law**

If, after the date of execution of this Agreement, there is any change in law which results in a change in the rate of any

Tax included in the Service Provider's prices or rates or the introduction of a new Tax and such change results in an increase or decrease in the cost to the Service Provider of performing this Agreement then the Parties shall agree to a revision in pricing to reflect such change provided that:

- (a) the Party requesting such revision



notify the other Party that such up is made (other than

has arisen; and



purposes of

for the

change in law

- (b) the Party requesting such revision shall provide the other Party with documentary proof of such change in cost to the reasonable satisfaction of the other Party; and
- (c) the provisions of this Clause 9.7 shall not apply to changes in Personal Income tax or Corporate Income tax or to changes in nonIndian Taxes.

## 10. TERMINATION

10.1 Either Party may, at any time and without cause, terminate all or part of this

Agreement by giving no less than [30] days' prior written notice to the other Party. Provided that, if any Purchase Order has already been initiated and the work is in progress, then the Company only shall have the right to cancel/ terminate any Work under the relevant Purchase Order as specified in such Purchase Order without cause and with immediate effect.

10.2 In addition, the Company may terminate all or part of this Agreement with immediate effect by written notice to the Service Provider if one of the following circumstances occurs:

- (a) if the Service Provider breaches any provision of this Agreement, provided that where remediable, the Company has notified the Service Provider of such breach and the Service Provider has upon receipt of such notice, failed to immediately and thereafter continuously proceed to remedy such breach to the Company's reasonable satisfaction; or
- (b) if the Service Provider becomes insolvent or bankrupt or makes a composition or arrangements with its creditors; or



- (c) if the Service Provider is wound up or a resolution for its winding

- an amalgamation or reconstruction whilst solvent); or
- (d) if the Service Provider has a liquidator, provisional liquidator, receiver, administrator or an administrative receiver or manager of its business or undertaking appointed; or
- 10.3 (e) if the force majeure under Clause 14 continues for more than thirty (30) days.
- In the event of cancellation/ termination of all or part of this Agreement for any reason, the Company's sole liability to the Service
- 10.4 Provider in respect of such cancellation/ termination shall be to make payment of the Fees properly due under this Agreement up to the date of termination.
- The expiry or termination of this Agreement shall be without prejudice to the rights and obligations of the Parties up to and including the date of expiry or termination and shall not affect or prejudice any term of this Agreement that is expressly or by implication provided to come into effect on, or continue in force after, such expiry or termination.
- 11.
- 11.1 **CONFIDENTIALITY**
- The Company and the Service Provider shall keep any information which either Party learns about or receives from the other pursuant to this Agreement in strict confidence and will not disclose the same to any third party without the prior written consent of the other Party. The foregoing restriction shall not apply in respect of information which the Company requires to disclose for the purpose of performing
- 11.2 The Service Provider shall not disclose such Information(s) to any potential subcontractors until such time and in manner agreed by Company in writing. The decision of the Company will be final and binding on the Service Provider in this regard.
- 11.3 The Service Provider shall use best endeavours to prevent the authorised disclosure of the all information hereunder. Where any information is required to be disclosed under Clause 11.1, the Service Provider shall give prompt notice to the Company and shall use its best commercial endeavours to limit the extent of any such disclosure.
- NOTICES**
- 12.
- 12.1 Any notice or other communication required or given under this Agreement shall be delivered in writing either by hand or by courier, registered mail with acknowledgment due, or fax to the address of the relevant Party set out in the Agreement (or such other address as may be notified by the relevant Party from time to time).
- 12.2 If a notice is delivered by hand or courier during normal business hours of the intended recipient it shall be deemed to have been received at the time of delivery otherwise on the next business day of the recipient. A notice sent by facsimile shall be deemed to have been received at the time when the sender's facsimile machine acknowledges transmission provided however that if the time of acknowledgement of transmission is after 5.00pm on a business day of the recipient it shall be deemed to have been received on the next business day of the recipient.



Services or which was in the possession of the disclosing party prior to this Agreement or which is required to be disclosed by any law, rule or regulation of any governmental agency or court order. The provisions of this Clause shall survive the expiry of termination of the Agreement for a period of 3 years.

12.3 All notices or other communications between the Parties shall be in the English language.







### **13. GENERAL LEGAL PROVISIONS**

that it has full power,

- 13.1 The Company shall be entitled to assign this Agreement to an affiliate/subsidiary or on giving written notice to the Service Provider. Save as aforesaid, the Service Provider shall not be entitled to assign this Agreement or any part or any benefit or interest in or under it without the prior written approval of the Company which the Company may at its sole discretion accept or refuse.
- 13.2 This Agreement shall not be amended or modified except by mutual agreement in writing between the Parties.
- 13.3 This Agreement and the all Schedules and Attachments annexed hereto contains the whole agreement between the Parties relating to the subject matter of this Agreement, and supersedes any previous understandings, commitments, agreements or representations in respect of the subject matter.
- 13.4 No delay or failure on the part of either Party to enforce from time to time all or any part of the terms and conditions of this Agreement shall be interpreted as a waiver of such terms and conditions.
- 13.5 Nothing in this Agreement shall, or shall be deemed to, create an agency, a partnership or a relationship of employer and employee between the Parties. For the avoidance of doubt, nothing in this Agreement shall prevent or restrict the Company from entering into parallel Agreements with other parties for services similar or related to the Services.
- 13.6 Unless otherwise specifically stated, both the Company and the Service Provider shall retain all rights and remedies, both under the Agreement and at law, which either may have against the other.
- 13.7 Each Party represents and warrants to the other that (i) it has been duly registered and organised and is a validly existing



legal entity under the laws of the  
jurisdiction of its incorporation and

authority and capacity to enter into and to carry out its obligations under the Agreement and (ii) by performing the Services it will not be in breach of any other Agreement, agreement, license or permit or in violation of any law and (iii) it shall at all times act in accordance with applicable laws and regulations.

- 13.8 The Service Provider shall comply with all safety instructions of the Company consistent with the provisions of the Agreement including, without limitation, the safety instructions of any of the Company's other Service Providers. Such instructions shall, if the Service Provider so requires, be confirmed in writing by the Company's Representative, so far as practicable.
- 13.9 The Service Provider shall not be entitled, without the written consent of Company, to make any news release or public announcement concerning the subject matter of the Agreement or to refer to the Company, use its name or logo, in print or electronic forms for marketing or reference purposes.
- 13.10 If any provision of this Agreement is prohibited, invalid or unenforceable in any jurisdiction, that provision will, as to that jurisdiction, be ineffective to the extent of the prohibition, invalidity or unenforceability without invalidating the remaining provisions of this Agreement or affecting the validity or enforceability of that provision in any other jurisdiction, unless it materially alters the nature or material terms of this Agreement.
- 13.11 The provisions of this Agreement are solely for the benefit of the Parties. No other person are intended to have, nor will have, any rights whatsoever, under this Agreement, whether for injury, loss or damage to person(s) or property or for economic loss.



13.12 This Agreement may be executed in one or more counterparts, each of which will be deemed to be an original copy of this Agreement and all of which, when taken

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together, will constitute one and the same instrument. services of any of



the employees of the Company,

#### 14. FORCE MAJEURE

14.1 Neither the Company nor the Service Provider shall be responsible for any failure to fulfil any term or condition of the Agreement if and to the extent that fulfilment has been delayed or temporarily prevented by a force majeure occurrence such as any (a) Act of God, (b) fire, flood, earthquake, (c) war, riot, insurrection and civil commotion, mobilization or military, call up of a comparable scope, which has been notified in accordance with this Clause 14 and which is beyond the reasonable commercial control and without the fault or negligence of the party affected and which, by the exercise of reasonable diligence, the said party is unable to provide against.

14.2 In the event of a force majeure occurrence, the party that is or may be delayed in performing the Agreement shall notify the other party without delay giving the full particulars thereof and shall use reasonable endeavours to remedy the situation without delay.

14.3 Save as otherwise expressly provided in the Agreement, no payments of whatever nature shall be made in respect of a force majeure occurrence.

14.4 Following notification of a force majeure occurrence in accordance with Clause 14.2, the Parties shall meet without delay with a view to agreeing a mutually acceptable course of action to minimise any effects of such occurrence.

#### 15. BUSINESS ETHICS

15.1 The Service Provider shall declare any conflicts of interest with the Company including relationship or financial interest of any nature whatsoever with employees, managers, other suppliers, vendors or stakeholders of the Company.

15.2 The Service Provider shall not use the

directly or indirectly or enter into any sort of monetary transaction with the employees of the Company. The Service Provider undertakes that he has not given, offered or promised to give directly or indirectly any bribes, commission, gift, consideration, reward, or inducement to any of the employees of the Company or their agent or relatives for showing or agreeing to show favor or disfavor to any person in relation to this Agreement or forbearing to do or for having done or forborne to do any act in relation to the obtaining or execution of the aforesaid undertaking, by the Service Provider, or his partners, agent or servant or any one authorized by him or acting on his behalf. The Service Provider undertakes that in the event of use of any corrupt practices by the Service Provider, the Company shall be entitled to terminate the Agreement forthwith and recover from the Service Provider, the amount of any loss arising from such termination. A decision of the Company or his nominee to this effect that a breach of the undertaking had been committed shall be final and binding on the Service Provider.

15.3 If at any time during execution or performance of this Agreement the Service Provider is faced with any undue demand, request for gratification or favor from any employee of the Company or a person connected with such employee, the Service Provider must report the same [REDACTED] immediately [REDACTED] at Balco.whistleblower@vedanta.co.in.

15.4 The Service Provider agrees to comply with the provisions of the Company's Supplier Code of Conduct and the Company's Human

Rights Policy including the Modern Slavery Act and in case of breach thereof, the same shall be treated as a breach of this Agreement.

15.5 The Service Provider shall maintain records and provide to the Company upon request



such records and evidences, as the Company may reasonably require, confirming the Service Provider's compliance with the obligations under Clause 15.4.

15.6 The Service Provider shall comply with the Anti-Bribery and Corruption (AB&C) requirements as applicable to them.

15.7 The Company shall have a right to initiate "audit proceedings" against the Service Provider to verify compliance with AB&C requirements. Such audit may be carried out by Company or by a reputed agency to be appointed by Company at the sole discretion of Company. The Service Provider shall extend full cooperation for smooth completion of the audit mentioned herein.

15.8 Notwithstanding anything in this agreement, Company shall have right to terminate the Agreement forthwith in case, it is found that the Service Provider has failed to comply with AB&C requirements.

15.9 The Service Provider may submit/report 'Complaints' pertaining to any violation to the Company's ethical business practices as specified in the Company's Code of Conduct Policy.

External stakeholders such as vendors, customers, business partners etc. have the opportunity to submit 'Complaints'; however, the Company is not obligated to keep 'Complaints' from nonemployees confidential or to maintain the anonymity of non-employees. We encourage individuals sending 'Complaints'/raising of any matter to identify themselves instead of sending anonymous 'Complaints' as it will assist in the effective complaint review process.

Post review, if the complaint is found to be have been made with malafide intention, stringent action will be taken against the complainant. We encourage



reporting genuine 'Complaints' and those submitted in true faith.

All the 'Complaints' under this policy should be reported to the Group Head-

Management Assurance at the following address:







Group Head – Management  
Assurance, Vedanta, 75 Nehru  
Road

Vile Parle (E), Mumbai 400 099 'Complaints' can also be  
sent to the designated e-mail id:  
Balco.whistleblower@vedanta.co.in.

## 16. GOVERNING LAW AND DISPUTE RESOLUTION

16.1 This Agreement shall be governed by, construed and  
enforced in accordance with the laws of Korba,  
Chhattisgarh  
[India].

16.2 Any dispute or difference whatsoever arising between  
the parties out of or relating to the interpretation,  
meaning, scope, operation or effect of this  
Agreement or the existence, validity, breach or  
anticipated breach thereof or determination and  
enforcement of respective rights, obligations and  
liabilities of the parties thereto shall be amicably  
settled by way of mediation. If the dispute is not  
conclusively settled within a period of twenty-one  
(21) days from the date of commencement of  
mediation or such further period as the parties shall  
agree in writing, the dispute shall be referred to and  
finally resolved by arbitration under the Arbitration  
and Conciliation Act, 1996 (as amended from time to  
time), which are deemed to be incorporated by  
reference into this clause. The arbitration shall be  
conducted as follows:

(i) A sole arbitrator shall be appointed in case  
the value of claim under dispute is less than ₹  
50,00,000 (Rupees Five Million Only) and in any  
other event by a forum of three arbitrators with one  
arbitrator nominated by each Party and the presiding  
arbitrator selected by the nominated arbitrators.

(ii) The language of the mediation and  
arbitration proceedings shall be English.  
The  
seat of arbitration shall be Korba, Chhattisgarh  
[India].

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*specific terms and conditions and is in addition to the Standard terms and conditions released as per the Corporate policy.*

termination of the Agreement.

**OTHER TERMS & CONDITIONS<sup>1</sup>**

**17. STATUTORY COMPLIANCES & CLEARANCES**

a) The Service Provider shall be solely liable for Statutory Compliance in respect of all applicable laws of land existing as on the date of the Contract as well those notified by the Central/ State Government from time to time including but not limited to compliance of provisions of Contract Labour (Regulation and Abolition) Act, 1970, Employees State Insurance Act, 1948, Employees Provident Funds and Miscellaneous Provisions Act, 1952, Minimum Wages Act, 1948, Payment of Bonus Act, 1965, Payment of Gratuity Act, 1972, Payment of Wages Act, 1936, Employees Compensation Act, 1923, Interstate Migrant Workmen (regulation of Employment and Conditions of Service) Act, 1979 etc in respect of all employees employed by the Service Provider, directly or indirectly or through any sub-contractor. The Service Provider shall be solely responsible for maintenance of records and filing of

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<sup>1</sup> Other terms and conditions (Clause 17-27) is BALCO



various forms/  
returns prescribed under all applicable  
Central/State Labour laws and  
Regulations/Rules made thereunder in  
respect of Workmen employed or engaged  
by it.

b) Company shall be entitled to  
deduct/adjust from amount payable to the  
Service Provider, any dues, wages,  
compensation on accident or death,  
expenses incurred for benefits, provision for  
amenities and amounts paid or payable by  
the Company in

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compliance with the applicable laws, in respect  
of workmen/employees of the Service Provider.

c) The Service Provider shall ensure  
compliance under the Safety Provisions of  
the applicable State/ Central laws and shall  
ensure that its employees are trained,  
competent, physically and mentally fit for the  
assignment and are not suffering from any  
chronic or contagious disease.

Service Provider is responsible for the safety and  
security of all men and materials employed by him.  
Service Provider should provide all safety  
equipment (such as tools & tackles, aprons, gloves,  
safety shoes etc) to all Service Provider team  
members. Service Provider should provide  
adequate coverage against any accident met by  
Service Provider's team during the period of  
Contract. Service Provider shall indemnify the  
Company and its officers against any claim,  
dispute and litigations arising in this regard.  
Further no separate consideration shall be  
payable by Company for the same.

The Service Provider shall take all the required  
clearances under the applicable laws which  
includes but is not limited to Environment  
Protection Act, CG Land Revenue Code, CG  
Municipal Corporation Act etc. for successful



discharge of all his obligation under his scope of work.

### **18. SUSPENSION**

No compensation for alteration of schedule or suspension of work.: If at any time after the

award of contract the BALCO shall for any reason whatsoever not require the whole work done or part thereof as specified in the acceptance of the contract , BALCO shall give notice in writing of the same to the Service Provider and the Service Provider shall not be entitled to any compensation and / or damage of any kind whatsoever, nor the contractor will be entitled to any claim for compensation for re scheduling of delivery period.

### **19. RELATIONSHIP BETWEEN THE SERVICE PROVIDER AND THE COMPANY**

Personnel engaged/employed by the Service Provider shall be deemed employees of the Service Provider and will not for any purpose be considered

employees or agents of the Company. Except as may otherwise be provided in this Contract, each Party shall be solely responsible for the supervision, daily direction, and control of its employees and payment of their salaries/wages, benefits, provision for amenities, compensation, disability benefits and the like.

### **20. SERVICE PROVIDER'S OBLIGATIONS/LIABILITIES**

- a) The sole responsibility of the performance of the sub-contractor rests with the Service Provider and the Service Provider shall be liable for any work done by its subcontractor, agents, employees or officials. However, the Company reserves the right to claim damages and enforce rights on the sub-contractor solely or jointly with the Service Provider but such enforcement will not absolve the Service Provider from any liability.

- b) The Service Provider shall advise the Company regarding, compliances, if any to be made by the Company.

- c) The Company shall, without prejudice to its other rights be entitled to deduct/ adjust from any dues payable to the Service Provider or any security, all amount(s) which the Company may be liable to pay, incur or sustain as a result of the performance or non-performance, observance or nonobservance of any of the terms of this Contract by the Service Provider

### **21. SERVICE PROVIDERS'S WARRANTIES & REPRESENTATIONS**

- a) The Service Provider hereby, warrants and represents that:



- b) The Services under this Contract shall be strictly in accordance with the agreed terms.
- c) The Services to be provided under this Contract shall not infringe any third party intellectual property rights.
- d) The Service Provider hereby represents to the Company that, as of the date of signing of the Contract, the Service Provider has received no notification of any rightful patent infringement claim which would prejudice the Company's right to use or maintain the Plant.

## 22. PENALTY FOR VIOLATION OF SAFETY MEASURES:

In case of any violation of safety measures and

or on noncompliance of safety PPE by the Service Provider or his employee (s) BALCO may penalise the Service Provider as follows:

clean after work is over .All the spares, Provider will supply his labours / workers waste material like oil grease etc. has to be safety equipment as per rules. If you are kept at designated area and cleaned the bringing your own equipment to carryout of work place after job is over. job in side the plant

In case, maintenance activities are found to be suffering due to non-performance by Service Provider's employees or job negligence, then suitable punitive action will be taken by BALCO for the same.

## 24. EMERGENCY:

The Service Provider shall ensure that its workers follow the following instructions:

## 23. DISCIPLINE AT WORK AREA:

Rs 500/- First time

Rs 1000/- Second time onwards

If Service Provider continues failing to provide the safety &/ or PPE BALCO reserves its right to terminate the contract.

At any point of time safety compliance will be checked by BALCO's SAFETY department or

Execution Department. The Service Provider shall immediately upon knowing of any accident, damage or losses, in which he is involved on the site, should inform the area-incharge.

The Service Provider shall take all safety precautions and provide adequate supervision by competent persons in order to do the job safely and without damage to plant, personnel, equipment, and the environment.

Service Provider has to maintain discipline at work area. He has to keep the area neat and

1. To contact fire control room on telephone No. 5333, 5219,5393,2333,242033 and inform name, location and brief of the emergency. If telephone is not available, break the glass of nearest manual call point of fire alarm or use the nearby portable fire extinguisher if you know the operation of the extinguisher.
2. Rush to the location of Emergency and assess the situation Combat the Emergency with the help of the available people using fire hydrant and fire extinguisher.
3. Rush to nearby assembly point [displayed in the department] in case of an extreme emergency.
4. As soon as any Emergency call, the Fire control room operator will immediately ask the Turn out no.1 available at Fire Station plant-I to rush at the emergency spot.
5. He will simultaneously inform to Main Security Gate of respective plants.

## **25. OCCUPATIONAL HEALTH & SAFETY (OH & S):**

The Service Provider shall be responsible to take all precautions to ensure safety of the labours / workers at work. The Service such equipment should be subject hazard (HSE) identifications and risk assessment prior to commencing of work.

The persons engaged by the Service Provider shall be given appropriate awareness on OH&S, those personal who will carry out jobs affecting OH&S shall be properly trained and made competent for the job performed by them. During emergency situation which may be faced in the plant your personal should move to the emergency shelters. They should not spread any rumour. (An OH &S booklet is available in Safety Deptt and is required to be





signed by the  
Service Provider

agreeing to comply with the same.



## **26. DAMAGE TO BALCO'S PROPERTY:**

Any loss / damage to BALCO due to negligence or wilful attitude of the Service Provider or his employees while execution of the contract shall be recovered from the Service Provider's pending bills.

## **27. VEDANTA SUSTAINABILITY CLAUSES**

### **27.1 HEALTH, SAFETY AND ENVIRONMENT (HSE) SYSTEMS**

**Designation of Supervisor:** The Service Provider shall specify one of its employee as the Site HSE Supervisor who shall be responsible for attending HSE matters at all levels at the site of work, including emergency response.

**Attendance of Service Provider:** The Service Provider shall ensure that its site HSE supervisor is present at the place of work and performs supervisory functions at all times whenever four or more workers of the Service Provider or its sub-Service Providers are present at the place of work.

**Statutory Compliance:** Service Provider shall identify, document and comply with all pertinent Health, Safety and Environment





laws and regulations, approvals, licenses and permits which are applicable to the services and conduct of activities.

Service Provider shall conduct internal inspections and record to ensure full implementation of requirements and compliance with the system at the site. Service Provider shall provide documentary evidence that it has complied with the system, on company's demand.

Service Provider Site management plan: The Service Provider should comply to his submitted plan in his bid document on how to manage and improve the work site. The

## 27.2 HAZARD AND RISK ASSESSMENT

Pre and post Job Safety assessments: Service Provider is responsible and accountable for ensuring effective procedures and assessment systems are in place to meet all HSE conditions.

Prior to the commencement of any operation/activity, Service Provider must undertake a hazard and risk assessment, such as a job safety analysis or job risk analysis including control and mitigation process. The risk assessment should cover the following aspects of workplace

1. General Safety and Environmental Management Procedures
2. Waste Disposal
3. Equipment Decommissioning
4. Water Discharges
5. Material Storage/Spills
6. Storm Water Management

Hot working, gas welding , etc

9. All electrical works
10. Work at heights including scaffolding
11. Demolition
12. Construction work of any kind
13. Transport management
14. Tank cleaning or testing
15. Confined space, etc

## 27.3 AWARENESS, COMPETENCY AND BEHAVIOR

Awareness: Before commencement of any Services, Service Provider shall at its own expense ensure that Service Provider's Personnel have been given the necessary HSE training including training in hazard identification, risk analysis, safe working behavior etc. The HSE training shall include a briefing explaining the nature of the part of the Services they will be performing, a job safety analysis and description of the hazards, which may be encountered during the performance of the particular tasks, which they are required to perform. During such training, Service Provider shall emphasize the fact that each person has an obligation to stop an act or task if it is unsafe. Service Provider shall ensure that Service Provider's Personnel attend refresher courses to maintain familiarity with current procedures. Service Provider shall provide evidence of completion of all training and competency assessments upon request by Company.



7. Use of Asbestos, Lead, CFCs and other objectionable chemicals.
- 8.

All Service Providers' Personnel arriving on the site shall attend the Service Provider's or Company's HSE inductions including a review of the site's safety procedures including Permit to Work and evacuation.

Service Provider shall ensure safety meeting schedule, including but not limited to pre shift safety meetings, safety toolbox meeting, safety committee meetings and management review meetings.

Competency: The Service Provider shall ensure that all of its supervisory personnel performing work possess any specific competencies or qualifications, experience, responsibility and authorities required by applicable occupational health and safety laws, and shall provide proof of same satisfactory to company upon request.

Behavior: The Service Provider should provide adequate guidance so that Service Provider's personnel works to reduce workplace incidents and improve safe performance at all times. The Service Provider shall ensure that his staff conducts in a fit and proper manner whilst on site. Failure to do this may result in the removal or exclusion of such persons from the site.

#### 27.4 CHANGE MANAGEMENT

If there is a change in site supervisor and Service Provider management personnel, it shall be notified to designated Service Provider manager as a part of Management of Change (MOC) process. This also includes reassess hazards and risk where the changes occur to the work scope, plant and equipment and the working environments.

#### 27.5 INCIDENT REPORTING

Reporting: Any accident, injury, near misses, fire , explosion, spill of chemicals,

environment degradation etc involving



**vedanta**

Company or  
Service  
Provider's

personnel, property or any third party  
property shall be reported immediately to  
Company, irrespective of whether injury to a  
person or damage to property or equipment  
resulted.

Access to site: If Company exercises its



right to conduct its own investigation; Service  
Provider



shall provide Company with all reasonable assistance to allow & to complete its investigation.

Learnings: Service Provider shall implement the learnings from incident to prevent a recurrence. Service Provider must share lessons learned with Service Provider's Personnel.

#### 27.6 SAFETY INTERACTION

The Service Provider must conduct regular safety interactions of its Personnel in accordance with the Company's safety interaction process. The number and frequency of safety interactions to be performed will be at the discretion of the Company Representative. Quality assessments of the safety interactions will be undertaken by the Company's HSE Personnel.

The Service Provider must conduct investigations into incidents, accidents and injuries by its Personnel or involving its equipment and property in accordance with the Company's incident investigation process. Action items must be created to prevent recurrence and be closed out before due dates.

#### 27.7 EMERGENCY DRILLS

Service Provider shall participate in emergency response drills to test the effectiveness of its emergency procedures and equipment and the knowledge and proficiency of Service Provider's Personnel.

Service Provider will provide with their emergency response plan (ERP) which must be adoptable to suit the site.

#### 27.8 CARDINAL RULE\*

Service Provider shall ensure that all Service Providers' Personnel follow the ten safety cardinal rules. The rules are:

"Do not override or interfere with any Safety

plant, tools and equipment are



Provision nor let anyone else override or interfere regardless of seniority. "Personal Protective Equipment (PPEs) applicable to the given task must be adhered to.

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"Always follow isolation and lock out procedure

"No person will be allowed to work if under the influence of alcohol or drugs "Report all injuries and illness

On violation of cardinal rules, yellow card will be issued by the Service Provider to the concerned personnel and disciplinary action will be taken by the Service Provider which may result in suspension of personnel also.

#### 27.9 PERSONAL PROTECTIVE EQUIPMENT

Service Provider shall, at its own expense, supply Service Provider's Personnel, where required, in connection with the safe performance of the Services, with adequate protective clothing and other protective equipment including first aid which shall be maintained in good condition or replaced, and shall be worn at all times where required to manage potential injury hazards associated with a work activity under this Contract.

Service Provider shall ensure that his personnel have been trained in the correct use and application of PPE. All such training shall be documented and available to company on request.

#### 27.10 EQUIPMENT, TOOLS, TACKLES AND RESOURCES

Service Provider shall ensure that all plant, tools and equipment used by Service Provider's Personnel in the performance of the Services are suitable for use for the particular task or tasks for which they are to be used, are maintained in safe and operable condition and that users of the trained, experienced and where necessary, licensed and certified to operate them.





Service Provider shall maintain a register of all lifting equipment and tackle. Service Provider shall, upon request, provide certification of inspection within the previous twelve months for all cranes and lifting slings and tackle before the equipment is used for the Work, and/or shall carry out such tests and inspections as are requested by applicable regulatory authorities. Safe Working Load (SWL) and radius charts shall be available for all lifting equipment and shall be marked on the equipment. Service Provider shall ensure pre-inspection of lifting tools tackles including wire rope slings, clamps, shackles, hooks etc before taking up the job. Company reserves the right to require, Service Provider to inspect any lifting gear that does not meet the requirements stated above. All equipment shall be stored and operated in accordance with the manufacturer's specification and guidelines.

Service Provider shall maintain up to date copies of all tests and maintenance certificates relating to cranes, lifting beams pulley blocks and lifting gear, and shall make them available to the Company upon demand.

All tools & tackles required for the execution of the job shall be arranged by Service Provider. Also a periodic audit would be undertaken to assess the condition of such tools and tackles.

While using their equipment and carrying out any job, if any equipment / installation belonging to company or any other agency at site is damaged by Service Provider, it will be made good at the risk and cost of Service Provider.

Detailed risk assessments shall be conducted for all equipment to identify all foreseeable hazards and determine the most appropriate controls to mitigate the

risks associated in using in accordance with HSE laws and regulation.



Vehicles operating in company premises shall observe all parking and speed restrictions, road signs and traffic rules as per company policy.

#### 27.11 MATERIAL SAFETY DATA SHEETS

The Service Provider shall maintain, at the job site, Material Safety Data Sheets for all hazardous materials and products taken onto the job site. Products are stored in appropriate containers clearly labelled prior to sending to site, all hazard substances are risk assessed to determine their safety requirements and suitability for use.

#### 27.12 WORK PERMITS

Service Provider shall follow the site Permit to Work (PTW) system for carrying out hazardous activities that includes following (but not limited to) activities. The Service Provider shall not perform any of such activities without first obtaining and displaying the applicable work permit at the project site.

- a. Hot work
- b. Confined space entry
- c. Working at height
- d. Breaking into piping
- e. Lockout / Tagout / isolation etc.
- f. excavation or drilling into the ground or a concrete building slab using powered equipment
- g. Hazardous substance handling, etc.
- h. Excavation / trenching
- i. Chemical management MSDS's
- j. Any government related permit

#### 27.13 HEALTH AND FITNESS



Each contract employee shall undergo a pre-employment medical check and periodical medical examination (PME) as per the company guidelines by a company approved doctor/ medical personnel and cleared for the type of work he/ she will undertake, prior to the commencement of work.

Service Provider shall ensure that all Service Providers' Personnel are able to perform the essential functions of their respective assignments and shall certify the same to Company if so requested by Company or if required by law. Service Provider's medical assessment process shall equal or exceed the requirements of Company's medical assessment procedure.

Service Provider shall ensure health assessment, monitoring and management of contract personnel exposure to noise, dust and other physical hazards that have the potential to be harmful to health.

#### 27.14 DISEASE

Service Provider shall ensure that any of Service Provider's Personnel who exhibit any symptoms of any severe infectious disease that is communicable by air or surface contact immediately make appropriate arrangements to be medically assessed and removed from the Site until they have received medical clearance and can provide proof of such clearance.

#### 27.15 HYGIENE AND HOUSEKEEPING

Service Provider shall ensure that Service Provider's Personnel maintain high standards of hygiene and housekeeping on the Site. Service Provider shall conduct routine hygiene and housekeeping inspections on the site to ensure that standards are maintained.

Service Provider shall collect and segregate scraps generated by their activities or services by creating separate bins and finally

deposit or utilize as per the directions of Company.



#### 27.16 ENVIRONMENT PROTECTION

Service Provider shall ensure proper collection and storage of used oil and waste oil generated at site. The used oil and waste

oil collected so shall be disposed of in compliance to law. Any oil/grease soaked cotton waste would be collected from site of work and suitably disposed as per the guidelines.

Service Provider shall use appropriate Personnel protective equipment's and follow requisite procedure for handling, transportation and storage of Hazardous wastes inside the plant including disposal sites owned by company.

Service Provider shall be solely responsible for damage caused to the surrounding/ environment during transit.

Service Provider shall ensure optimum use of water, energy and other resources while providing services and also work for loss prevention in the form of leakages, spills, overflows, wastages etc. Service Provider shall be solely responsible for the legal actions that may be initiated consequent to environmental hazards as aforesaid. Service Provider would ensure that spillages, leakages and overflows etc are attended immediately on notice or on intimation.

#### 27.17 SMOKING

Service Provider's Personnel shall not smoke at the work site except within designated smoking areas.

#### 27.18 SERVICE PROVIDER ACCOMODATION

Where the Service Provider's Personnel provides accommodation for contract workers,

the accommodation shall be appropriate for its location and be clean, safe and, at a minimum, meet the basic needs of workers. In particular, the provision of accommodation shall meet national legislation and shall have the minimum following: Provision of sanitary, laundry and cooking facilities and potable water " Safe location w.r.t health, hygiene and fire risks.

Provision of first aid, medical facilities and proper ventilation.

Building material shall be suitably inflammable, have smoke and fire alarms fitted and

Include other safety checks to prevent fire.

#### 27.19 CLEARANCE OF SITE

On a continuous basis consistent with Good Industry Practice during the progress of the Works the Service Provider shall clear away and remove pursuant to the directions of the BALCO from the Site all scrap, debris, other waste materials. The Service Provider shall, leave on the Site for the BALCO such temporary works as instructed by the BALCO, free of charge. The Service Provider shall at all times and particularly after completion of the Works, keep the Site and the Facility in a clean, safe and workman.

Like condition and shall dispose of all rubbish (other than hazardous materials or other materials which may contaminate ground-water, for which other arrangements shall be made by the Service Provider) in accordance with Good Industry Practice.

#### 27.20 REMOVAL OF UNSAFE WORKERS

The Service Provider shall document any identified instances of noncompliance with safety requirements by its workers and sub - Service Providers. Where any worker or sub Service Provider breaches safety requirements and thereby presents a threat of serious injury



or death to any person, the Service Provider shall remove that worker or sub Service Provider from the project site for the duration of the project.

#### 27.21 SUBCONTRACTING

The Service Provider shall be able to demonstrate that he has applied selection procedures that ensure that his sub -Service Providers are demonstrably competent to perform the works safely. The Service Provider shall provide to the Location Manager the names of sub -Service Providers he intends to appoint in advance of entering into a contract with any such sub -Service Provider. The requirements of this booklet, the contract specification, the contract health and safety plan, the risk assessments and method statements shall be imposed upon sub -Service Providers by the Service Provider.

#### 27.22 MONITORING

**Compliance check by Service Provider:** The Service Provider shall monitor his safety performance and that of his sub -Service Providers to ensure compliance with standards set in the contract. The frequency of monitoring will be dependent upon the risk profile and number of persons employed.

**Root Cause of incidents:** All accidents shall be investigated to establish the basic causes and to recommend appropriate improvements in control. Details of all accidents, together with the associated investigation and recommendations, shall be passed to the company as soon as deemed reasonable.

**Audit by company:** The Company reserves the right to audit all aspects of the management of health and safety on site at any time. Deficiency



identified during any inspection / audit shall be entered into an appropriate action register that summarize the deficiency, the required actions, the person to whom that action have been assigned and date by which the action shall be completed.

The Service Provider shall be responsible to ensure all actions are completed, verified and closed within stipulated timeframes.

Monitoring by company: The Company reserves the right to allocate weight age and set safety KPIs in the Service Provider's scorecard. The scorecard performance shall be reviewed periodically.

### 27.23 SERVICE PROVIDER QUERIES

The queries should be normally directed to company's designate as specified in contract. The site specific "Service Provider safety management manual" can also be referred for any clarifications when in doubt. The details on specific processes, plants and machineries and related hazards are detailed in this manual.

### 28. ENERGY MANAGEMENT SYSTEM CLAUSE:

Energy Management System Clause (for energy efficient products only such as motor, AC, Pumps, transformers etc.) As a part of Energy Management System (ISO 50001:2011), we wish to inform you that we intend to procure energy efficient products, equipment and services and you are requested to offer us energy efficient products, equipment & services which will have overall cost effectiveness. Your offer shall be evaluated partly on the basis of energy performance of your product, equipment or services throughout the entire life cycle of product. Hence, your offer should also include all the technical details related to energy use, consumption and efficiency and request you to inform us about the energy efficient products & specifications.

